# American Rescue Plan Act of 2021 Coronavirus State and Local Fiscal Recover Fund

# Project Request and Eligibility Determination

This document is to be completed by the person or persons requesting American Rescue Plan Act of 2021 Coronavirus State and Local Government Fiscal Recovery Fund monies. The request with proceed through the [LOCAL GOVERNMENT NAME HERE]’s review process. The requestor will receive notice if the project is approved, with instructions on how to proceed.

## BASIC INFORMATION AND DESCRIPTION

|  |  |
| --- | --- |
| Program/Project Name: |  |
| Program/Project Description: |  |
| Responsible Department: |  |
| Program/Project Manager Name: |  |
| Total Amount Requested: |  |
| Internal Account Code(s):  (assigned by Finance) |  |
| Treasury Expenditure Category Level: | Choose an item. |
| Treasury Expenditure Category: | Choose an item. |

## ELIGIBILITY REVIEW

Please select appropriate ARP/CSLFRF Category below and complete the required information. Note that each requested project may only be associated with one of the following categories: 1. Public Health; 2. Negative Economic Impact; 3. Premium Pay; 4. Water, Sewer, Stormwater Infrastructure; 5. Broadband; or 6. Revenue Replacement (Government Services). Please complete all information in the applicable category and attach any required additional justifications and documentation.

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| --- | --- | --- | --- | --- | --- |
| 1. [**Public Health**](https://home.treasury.gov/system/files/136/SLFRF-Final-Rule-Overview.pdf) | | | | | |
| **A. Enumerated Uses/ Safe Harbors (Must select one)**  COVID-19 mitigation & prevention\*  Medical expenses\* | | Behavioral health care\*  Preventing & responding to violence\*  **Not on Enumerated List (Must complete Box B.)** | | | |
| *\*See detailed list of enumerated projects in each of these categories on pages 14-16 of the* [*Overview of the Final Rule.*](https://home.treasury.gov/system/files/136/SLFRF-Final-Rule-Overview.pdf) | | | | | |
| **B. Written Justification (Please provide detailed responses to both these questions if checked “Not on Enumerated List” in Box A)**   1. What is the specific negative public health impact or harm experienced by an individual or a class? 2. How does proposed project address the negative public health impact or harm? | | | | | |
| **C.** Is the **project reasonably designed to benefit** the individual or class that experienced a public health impact or harm? | | | | Yes | No |
| **D.** Is the project **related and reasonably proportional** to the extent and type of public health impact or harm experienced? | | | | Yes | No |
| **E. Does proposed project involve a capital expenditure between $1 million and $10 million?** | | | | Yes – Proceed to Box F. | No – Proceed to Box G. |
| **F. Is proposed project on enumerated list in Box A?**  *\*Written justification must include the following elements:*   * *Describe the harm or need to be addressed;* * *Explain why a capital expenditure is appropriate; and* * *Compare the proposed capital expenditure to at least two alternative capital expenditures and demonstrate why the proposed capital expenditure is superior.* | | | | Yes – Record written justification\* in file, but not required in Project & Expenditure Reports | No – Record written justification\* in file and include in Project & Expenditure Reports |
| **G. Does the proposed project involve a capital expenditure of $10 million or more?** | | | | Yes – Record written justification\* for file and include in Project & Expenditure Reports | No – No further action required. |
| 1. [**Negative Economic Impact**](https://home.treasury.gov/system/files/136/SLFRF-Final-Rule-Overview.pdf) | | | | | |
| 1. **Enumerated Beneficiaries (Must select one)** *\*See detailed list of enumerated beneficiaries and income on pages 17 & 19 of the* [*Overview of the Final Rule.*](https://home.treasury.gov/system/files/136/SLFRF-Final-Rule-Overview.pdf) | | | | | |
|  | **Beneficiaries Who Experience Negative Economic Impact from the Pandemic**  Low-moderate income households or communities  Household that experienced unemployment  Households that experienced increased food or housing insecurity  Households that qualify for the Children’s Health Insurance Program, Childcare Subsidies through the Child Care Development Fund Program, or Medicaid  When providing affordable housing programs: households that qualify for the National Housing Trust Fund and Home Investment Partnerships Program  When providing services to address lost instructional time in K-12 schools: any student that lost access to in-person instruction for a significant period  Small business that experienced decreased revenue or gross receipts, increased costs, financial insecurity, or other financial challenges due to the pandemic  Nonprofit the experienced decreased revenue, increased costs, financial insecurity, or other financial challenges due to the pandemic  Travel, tourism, hospitality industries  Other industry that experienced at least 8% employment loss from pre-pandemic levels or is experiencing comparable or worse economic impacts as national tourism, travel, and hospitality sector  Local government |  | **Beneficiaries Who Experienced Disproportionately Negative Economic Impact from the Pandemic**  Low-income households and communities  Households residing in a Qualified Census Tract (QCT)  Households that qualify for Temporary Assistance for Needy Families (TANF), Supplemental Nutrition Assistance Program (SNAP), Free- and Reduced-Price Lunch (NSLP) and/or School Breakfast (SBP) programs, Medicare Part D Low-Income Subsidies, Supplemental Security Income (SSI), Head Start and/or Early Head Start, Special Supplemental Nutrition Program for Women, Infants, and Children (WIC), Section 8 Vouchers, Low-Income Home Energy Assistance Program (LIHEAP), and Pell Grants. For services to address educational disparities, Treasury will recognize Title I eligible schools as disproportionately impacted and responsive services that support the school generally or support the whole school as eligible.  Small businesses operating in a Qualified Census Tract (QCT)  Nonprofits operating in a Qualified Census Tract (QCT)  **Not on enumerated list (Must complete Box B.)** | | |
| **B. Written Justification (Provide detailed response to this question if proposed beneficiary not on enumerated list in Box A)**  Define the group/class/geographical area that experienced a negative economic impact from the COVID-19 pandemic. Be specific in defining group/class/area and in defining the negative economic impact to the group/class/area. | | | | | |
| 1. **Enumerated / Safe Harbor Projects (Must Select One)** | | | | | |
|  | **Projects for Negatively Economically Impacted**  Households & Communities  Food assistance & food banks   Emergency housing assistance: rental assistance, mortgage assistance, utility assistance, assistance paying delinquent property taxes, counseling and legal aid to prevent eviction and homelessness & emergency programs or services for homeless individuals, including temporary residences for people experiencing homelessness   Health insurance coverage expansion   Benefits for surviving family members of individuals who have died from COVID-19   Assistance to individuals who want and are available for work, including job training, public jobs programs and fairs, support for childcare and transportation to and from a jobsite or interview, incentives for newly- employed workers, subsidized employment, grants to hire underserved workers, assistance to unemployed individuals to start small businesses & development of job and workforce training centers   Financial services for the unbanked and underbanked   Burials, home repair & home weatherization   Programs, devices & equipment for internet access and digital literacy, including subsidies for costs of access   Cash assistance  Paid sick, medical, and family leave programs   Assistance in accessing and applying for public benefits or services  Childcare and early learning services, home visiting programs, services for child welfare- involved families and foster youth & childcare facilities   Assistance to address the impact of learning loss for K-12 students (e.g., high-quality tutoring, differentiated instruction)   Programs or services to support long-term housing security: including development of affordable housing and permanent supportive housing  Small Businesses  Loans or grants to mitigate financial hardship, such as by supporting payroll and benefits, costs to retain employees, and mortgage, rent, utility, and other operating costs  Technical assistance, counseling, or other services to support business planning  Nonprofits  Loans or grants to mitigate financial hardship  Technical or in-kind assistance or other services that mitigate negative economic impacts of the pandemic  Travel, Tourism, Hospitality Industry  Aid to mitigate financial hardship, such as supporting payroll costs, lost pay and benefits for returning employees, support of operations and maintenance of existing equipment and facilities  Technical assistance, counseling, or other services to support business planning  COVID-19 mitigation and infection prevention measures (see section Public Health)  Local Government  Public safety, public health, and human services salaries/benefits, to extent responding to the COVID-19 public health emergency  Restoring pre-pandemic employment levels  Effective service delivery |  | **Projects for Disproportionately Negatively Economically Impacted**  Households & Communities  Pay for community health workers to help households access health & social services   Remediation of lead paint or other lead hazards   Primary care clinics, hospitals, integration of health services into other settings, and other investments in medical equipment & facilities designed to address health disparities   Housing vouchers & assistance relocating to neighborhoods with higher economic opportunity   Investments in neighborhoods to promote improved health outcomes   Improvements to vacant and abandoned properties, including rehabilitation or maintenance, renovation, removal and remediation of environmental contaminants, demolition or deconstruction, greening/vacant lot cleanup & conversion to affordable housing  Services to address educational disparities, including assistance to high-poverty school districts & educational and evidence-based services to address student academic, social, emotional, and mental health needs   Schools and other educational equipment & facilities  Small Businesses  Rehabilitation of commercial properties, storefront improvements & façade improvements   Technical assistance, business incubators & grants for start-up or expansion costs for small businesses  Support for microbusinesses, including financial, childcare, and transportation costs  **Not on enumerated list (Must proceed to Box D.)** | | |
| **D. Written Justification (Provide detailed response to this question if proposed project not on enumerated list in Box C.)**   1. What is the specific negative economic impact or harm caused or exacerbated by the pandemic? 2. How does the proposed project address the impact or harm? | | | | | |
| **E.** Is the **project reasonably designed to benefit** the individual or class that experienced a public health impact or harm? | | | | Yes | No |
| **F.** Is the project **related and reasonably proportional** to the extent and type of public health impact or harm experienced? | | | | Yes | No |
| **G. Does proposed project involve a capital expenditure between $1 million and $10 million?** | | | | Yes – Proceed to H. | No – Go to Box I. |
| **H. Is proposed project on enumerated list in Box C?**  *\*Written justification must include the following elements:*   * *Describe the harm or need to be addressed;* * *Explain why a capital expenditure is appropriate; and* * *Compare the proposed capital expenditure to at least two alternative capital expenditures and demonstrate why the proposed capital expenditure is superior.* | | | | Yes – Record written justification\* for file, but not required in Project & Expenditure Reports | No – Record written justification\* for file and include in Project & Expenditure Reports |
| **I. Does the proposed project involve a capital expenditure of $10 million or more?** | | | | Yes – Record written justification\* for file and include in Project & Expenditure Reports | No – No further action needed |
| 1. [**Premium Pay**](https://home.treasury.gov/system/files/136/SLFRF-Final-Rule-Overview.pdf) | | | | | |
| Please provide description of project and attach any necessary documentation. Must conform with requirements in 31 CFR 35.6(c). (More details on this expenditure category are available [**here**](https://canons.sog.unc.edu/2022/01/american-rescue-plan-act-coronavirus-state-and-local-fiscal-recovery-funds-final-rule-premium-pay-for-local-government-employees/).) | | | | | |
| 1. [**Water, Sewer, Stormwater Infrastructure**](https://home.treasury.gov/system/files/136/SLFRF-Final-Rule-Overview.pdf) | | | | | |
| Please provide description of project and attach any necessary documentation. Must conform with requirements in 31 CFR 35.6(e)(1). | | | | | |
| 1. [**Broadband Infrastructure**](https://home.treasury.gov/system/files/136/SLFRF-Final-Rule-Overview.pdf) | | | | | |
| Please provide description of project and attach any necessary documentation. Must conform with requirements in 31 CFR 35.6(e)(2). | | | | | |
| 1. [**Revenue**](https://home.treasury.gov/system/files/136/SLFRF-Final-Rule-Overview.pdf) **Loss** | | | | | |
| This portion of ARP/CSLFRF funds may be used for the provision of government services. Please provide description of project and attach any necessary documentation. Note that water, sewer, stormwater, and broadband projects may be funded in this category, without having to meet all of the criteria specified in the other categories. | | | | | |

PROHIBITIONS VERIFICATION:   
By checking these boxes, the [NAME REVIEWING PERSONNEL HERE] attests that the statements are true.

Project does not contravene the statutory purpose of ARP, including program, service, or capital expenditure that includes a term or condition that undermines efforts to stop the spread of COVID-19

No Conflict of Interest

Complies with all state and federal laws and local ordinance

No pension fund deposit

No borrowings or debt service

No financial reserves

## JUSTIFICATION AND LEGAL REVIEW FOR STATE AUTHORITY:

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| --- |
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## Program Administration Information

Proposed Project Budget, delineated by Cost Item for Allowable Cost Review

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| --- | --- | --- | --- | --- | --- |
| **U.G. Provisions** | **Cost Items** | **Estimated Amount** | **Necessary/ Reasonable** | **% Allocable** | **Required Documentation** |
| [200.430](https://www.ecfr.gov/current/title-2/subtitle-A/chapter-II/part-200/subpart-E/subject-group-ECFRed1f39f9b3d4e72/section-200.430) | Compensation |  | Choose an item. |  |  |
| [200.431](https://www.ecfr.gov/current/title-2/subtitle-A/chapter-II/part-200/subpart-E/subject-group-ECFRed1f39f9b3d4e72/section-200.431) | Fringe Benefits |  | Choose an item. |  |  |
| [200.475](https://www.law.cornell.edu/cfr/text/2/200.475) | Travel |  | Choose an item. |  |  |
| [200.439](https://www.ecfr.gov/current/title-2/subtitle-A/chapter-II/part-200/subpart-E/subject-group-ECFRed1f39f9b3d4e72/section-200.439) | Equipment & Other Capital |  | Choose an item. |  |  |
| [200.453](https://www.ecfr.gov/current/title-2/subtitle-A/chapter-II/part-200/subpart-E/subject-group-ECFRed1f39f9b3d4e72/section-200.453) | Materials & Supplies |  | Choose an item. |  |  |
| 200.318 & 200.92 | Contractual Services & Subawards |  | Choose an item. |  |  |
| [200.459](https://www.law.cornell.edu/cfr/text/2/200.459) | Consultants / Professional Services |  | Choose an item. |  |  |
| [200.465](https://www.ecfr.gov/current/title-2/subtitle-A/chapter-II/part-200/subpart-E/subject-group-ECFRed1f39f9b3d4e72/section-200.465) | Occupancy (Rent & Utilities) |  | Choose an item. |  |  |
| [200.471](https://www.ecfr.gov/current/title-2/subtitle-A/chapter-II/part-200/subpart-E/subject-group-ECFRed1f39f9b3d4e72/section-200.471) | Telecommunications |  | Choose an item. |  |  |
| [200.473](https://www.ecfr.gov/current/title-2/subtitle-A/chapter-II/part-200/subpart-E/subject-group-ECFRed1f39f9b3d4e72/section-200.473) | Training & Education |  | Choose an item. |  |  |
| [200.413 (c)](https://www.ecfr.gov/current/title-2/subtitle-A/chapter-II/part-200/subpart-E/subject-group-ECFRd93f2a98b1f6455/section-200.413) | Direct Administrative Costs |  | Choose an item. |  |  |
|  | Add’l Cost Item |  | Choose an item. |  |  |
|  | Total Direct Costs |  | Choose an item. |  |  |
| [200.414](https://www.ecfr.gov/current/title-2/subtitle-A/chapter-II/part-200/subpart-E/subject-group-ECFRd93f2a98b1f6455/section-200.414) | Indirect Costs |  | Choose an item. |  |  |
| **Total Project Budget** | |  |  | | |

## Administrative Classification

|  |  |  |  |
| --- | --- | --- | --- |
| **Administration Type** | In-House | Contractor/Vendor | Sub-Recipient/Subaward |
| [If Contractor/Vendor or Sub-recipient, has this been verified using the Classification Checklist?](https://www.sog.unc.edu/file/sample-checklist-determine-subrecipient-or-contractor-classificationdocx) | | Yes | No |

Program Sub-Recipient and/or Contractor List:

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Name** | **Tax ID #** | [**Completion of Classification Checklist?**](https://www.sog.unc.edu/file/sample-checklist-determine-subrecipient-or-contractor-classificationdocx) | **Contractor or Sub-Recipient** | **DUNS #/UEI** | **Date checked SAM.gov** |
|  |  | Choose an item. | Choose an item. |  |  |
|  |  | Choose an item. | Choose an item. |  |  |
|  |  | Choose an item. | Choose an item. |  |  |

## Key Project Dates

|  |  |  |  |
| --- | --- | --- | --- |
| **Due Date** | **Task Type** | **Task Description** | **Status** |
|  | Choose an item. |  | Choose an item. |
|  | Choose an item. |  | Choose an item. |
|  | Choose an item. |  | Choose an item. |

## Additional Suggested Materials for Attachment (as needed):

[Equity Worksheet](https://www.sog.unc.edu/file/equity-lens-worksheetv249docx),

Risk Assessment

Monitoring Plan

Agreements

[Project & Expenditure Report Tracking Tool](https://canons.sog.unc.edu/wp-content/uploads/sites/1175/2021/12/Project-Expenditure-Report-Tracking-Template_SOG_Final.xlsx)